# Incorporating mechanisms of fluid pressure relaxation into inclusion-based models of elastic wave velocities

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# ABSTRACT

Our new method incorporates fluid pressure communication into inclusion-based models of elastic wave velocities in porous rocks by defining effective elastic moduli for fluid-filled inclusions. We illustrate this approach with two models: (1) flow between nearest-neighbor pairs of inclusions and (2) flow through a network of inclusions that communicates fluid pressure throughout a rock sample. In both models, we assume that pore pressure gradients induce laminar flow through narrow ducts, and we give expressions for the effective bulk moduli of inclusions. We compute P-wave velocities and attenuation in a model sandstone and illustrate that the dependence on frequency and water-saturation agrees qualitatively with laboratory data. We consider levels of water saturation from 0 to 100% and all wavelengths much larger than the scale of material heterogeneity, obtaining near-exact agreement with Gassmann theory at low frequencies and exact agreement with inclusion-based models at high frequencies.

# **INTRODUCTION**

Inclusion-based effective medium theory (IBEMT) models the elastic behavior of heterogeneous systems. In the standard formulation, the system is represented by hydraulicallyisolated inclusions in a homogeneous background (e.g., Kuster and Toksöz, 1974; Berryman, 1980a, b; le Ravalec et al., 1996). With a lack of hydraulic communication between the inclusions, this model cannot account for coupling between elastic deformation and local fluid flow. As a result, the inclusionbased approach provides an accurate description of elastic wave velocities only for the unrelaxed or high-frequency case. By allowing for complete communication between the inclusions, the relaxed, low-frequency case can be modeled (e.g., Endres and Knight, 1997). What is needed, however, is a description of elastic behavior from the low frequencies of seismic data to the high frequencies of laboratory data. Understanding this dispersion is necessary for accurately interpreting P-wave velocities at any given frequency and to relate velocities measured at laboratory frequencies to those measured at seismic frequencies.

The dependence of velocity on frequency has been attributed to two different mechanisms: Biot dynamic poroelasticity (Biot, 1956a, b) and local flow (Jones, 1986; Murphy et al., 1986). Theoretical models using both mechanisms have been developed by Dutta and Odé (1979), Dvorkin and coworkers [Dvorkin and Nur, 1993; Dvorkin et al., 1994, and Endres (1998)]. These models and the complementary experimental observations indicate that local flow is the dominant mechanism for a wide range of rock types and in-situ conditions (Endres, 1998).

The local flow mechanism describes fluid pressure communication in a heterogeneous porous medium in response to an elastic wave. The initial magnitude of the fluid pressure induced by the wave will vary spatially due to heterogeneity of the elastic response. With sufficient time to respond, fluid flow equilibrates the fluid pressures. The pore fluid eventually "relaxes" and acts elastically as one single effective fluid phase. For a given length scale of heterogeneity, a characteristic time  $\tau$  corresponds with the relaxation process (le Ravalec et al., 1996), thereby defining a characteristic frequency  $\omega_0 = 1/\tau$ . The elastic response is described as relaxed if  $T \gg \tau$  and unrelaxed if  $T \ll \tau$ , where T represents the time-scale of elastic deformation. The wave frequency  $\omega$  determines the available response time, hence the term "low frequency" refers to the relaxed case and "high frequency" refers to the unrelaxed case.

In the present study, we model dispersion due to local flow using inclusion-based effective medium theory. Although inclusion-based modeling requires idealized pore space geometries (e.g., spheres or ellipsoids), it does describe the fundamental processes of elastic wave velocities in porous rocks (i.e., the elastic deformation of a solid containing fluid-filled voids). If there is no hydraulic communication between the

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inclusions, however, local flow will not be part of the elastic response and only the high-frequency, unrelaxed behavior can be modeled. In order to predict the variation in P-wave velocity with frequency, the inclusion-based approach must be modified for communication between the inclusions. This has been done by Endres and Knight (1997) and Xu (1998), allowing prediction in either the fully relaxed or fully unrelaxed limit. These models are limited and cannot estimate velocities at intermediate frequencies.

In this work, we use a standard inclusion-based model, but incorporate fluid pressure communication into the elastic response of the inclusions by defining a frequency-dependent effective bulk modulus for each inclusion. This approach has the advantage that once the effective moduli of the inclusions have been estimated, an IBEMT can be used to estimate the effective elastic moduli and wave velocities. We model P-wave velocity for the range of frequencies accessible to effectivemedium theory: from the low- or zero-frequency limit, up to frequencies where the wavelength becomes comparable to the length scale of heterogeneity. We consider only media that are isotropic with respect to elastic wave propagation.

Our central objective is to illustrate that inclusion-based models can be modified to predict frequency-dependent behavior. The accuracy of our approach depends on the local flow model used to estimate the effective moduli of inclusions; we show two simple models to validate the approach. We first describe pore-scale fluid pressure communication using a model that considers only communication with the nearest neighboring pore via laminar (Poiseuille) flow. We then consider a more sophisticated model using a network of pores. Even with these simple models, we obtain results that agree exactly with previous unrelaxed (high-frequency) inclusion-based results (Berryman, 1980a, b), and that agree very well with lowfrequency Gassmann theory (Gassmann, 1951).

# A PORE-TO-PORE RELAXATION MODEL

# **Model development**

To estimate the effective moduli of a fluid-filled inclusion requires a model of pore-scale fluid pressure communication. As a simple model of this communication, consider the case of two inclusions, each containing a single fluid phase, connected by a narrow duct. Fluid can flow through the duct in response to a pressure gradient. We model the volumetric flow rate qbetween the inclusions by

$$q = \gamma(p_1 - p_2), \tag{1}$$

where  $\gamma$  is the fluid conductivity (a function of the duct geometry and the fluid in the duct) and  $p_1$ ,  $p_2$  are the fluid pressures in the respective inclusions. Differences in the induced fluid pressures in the inclusions can be caused either by a difference in compliance of the fluids or by a difference in geometric compliance of the inclusions.

Assuming steady laminar (Poiseuille) flow and approximating the duct as a cylinder of radius r and length L containing a Newtonian fluid with viscosity  $\eta$ ,  $\gamma$  is determined by (e.g., White, 1986, 305)

$$\gamma = \frac{\pi r^4}{8\eta L}.$$
 (2)

For pressure relaxation between neighboring pores, the parameter L is the length scale of fluid pressure heterogeneity. For simplicity, we assume that the flow is not influenced by entrance and exit effects. We also assume that the duct radius is sufficiently small that flow develops fully on a time scale shorter than the wave period [Biot (1956b) derived the more general frequency-dependent version of equation (4) that treats the general case]. We further assume that only a single fluid phase occupies the duct. This is reasonable because of the affinity of wetting fluids for the smallest regions of the pore-space.

Using this local flow model, we derive in Appendix A the following expression for the effective bulk modulus  $\kappa_1^*$  for inclusion 1:

$$\kappa_1^* = \frac{\tilde{\kappa} + i\frac{\omega}{\omega_0}\kappa_1}{1 + i\frac{\omega}{\omega_0}}$$
(3)

where  $\omega$  is angular frequency,  $\omega_0$  is the characteristic relaxation frequency, and  $\kappa_1$  is the bulk modulus of the fluid in inclusion 1. Here  $\tilde{\kappa}$  is

$$\tilde{\kappa} = \frac{P_1 V_1 + P_2 V_2}{P_1 \left(\frac{V_1}{\kappa_1} + \frac{V_2}{\kappa_2}\right) + \frac{V_2}{\kappa_b}(P_2 - P_1)},$$
(4)

where the quantities  $P_i$  [defined in Berryman (1980b)] account for the effects of inclusion geometries, the  $V_i$ s are the inclusion volumes,  $\kappa_2$  is the bulk modulus of the fluid in inclusion 2, and  $\kappa_b$  is the matrix bulk modulus. The relaxation frequency  $\omega_0$  is

$$\omega_0 = \frac{\gamma}{V_1 V_2} \left[ \frac{\kappa_1 \kappa_2}{\kappa_b - \kappa_2 + P_2 \kappa_2} \right] \\ \times \left[ \kappa_b \left( \frac{V_1}{\kappa_1} + \frac{V_2}{\kappa_2} \right) + V_2 \left( \frac{P_2}{P_1} - 1 \right) \right], \quad (5)$$

which defines the time-scale of relaxation,  $\tau \sim 1/\omega_0$ . Note that equation (3) describes the effective bulk modulus of the fluid component only. It does not include coupling with the stiffness of the dry (empty) pore, as the reader might have anticipated. Rather, applying an IBEMT [in which equation (3) models one of the medium's constituents] accounts for this geometrical effect. Although our model can account for a distribution of pore geometries, for simplicity we consider the case where all inclusions have the same geometry (i.e.,  $P_1 = P_2$ ). The reduced forms of equations (4)–(5) for this case are given in Appendix B.

Figure 1 shows a plot of the real and imaginary parts of  $\kappa_1^*/\kappa_1$  versus  $\omega/\omega_0$  for the particular case of spherical inclusions. We have set  $\kappa_2/\kappa_1 = 0.1$  (i.e., inclusion 2 is filled with a fluid that is much more compressible than that in inclusion 1). As expected, in the high-frequency, unrelaxed limit, we have  $\kappa_1^* = \kappa_1$  (i.e., the elastic response is unmodified by hydraulic communication). In the low-frequency limit where the fluid pressure is equal in the two inclusions,  $\kappa_1^* = \tilde{\kappa}$ . The behavior exhibited in Figure 1 is typical of viscous relaxation mechanisms (Mavko et al., 1998, 197), showing a distinct transition from low-frequency to high-frequency behavior, and a characteristic frequency  $\omega_0$  separating these regimes. Near  $\omega_0$ , viscous dissipation (represented by the imaginary part of  $\kappa_1^*$ ) has a characteristic peak, corresponding to a maximum (near 45°) of the phase angle by which the pressure in the pore leads the dilatation.

While it is conventionally assumed that fluids do not contribute to the shear stiffness of a porous composite, viscous shear flow does contribute to elastic dissipation. Following Berryman (1980a, b), we account for this by using the expression  $\mu^* = i\omega\eta$  for the effective shear modulus  $\mu^*$  of an inclusion filled with fluid of viscosity  $\eta$ . This expression is based on fully developed shear flow under sinusoidal deformation. The fact that  $\mu^*$  is purely imaginary reflects the purely viscous (i.e., inelastic) role of the fluid.

Using expression (3) for the effective moduli of two connected inclusions, we now consider a model porous medium containing a large number of inclusions. An important parameter in the description of fluid pressure communication is the interpore distance L which enters through  $\gamma$ . In a realistic porous medium represented by inclusions distributed randomly throughout a background matrix, L has a different value for every pair of inclusions. We therefore treat L as a random variable described by a probability distribution.

In Appendix C, we derive the probability density P(R) of the distance R between centers of inclusions of any size or shape, distributed randomly with number density n (inclusions per unit volume). We obtain

$$P(R) = 4\pi n R^2 \exp(-\frac{4}{3}\pi n R^3).$$
 (6)

The parameter *L* is obtained by subtracting the size of the inclusions from *R*. Having determined the probability distribution of *L*, the distribution of effective bulk moduli of the inclusions follows directly from the relationship between *L* and  $\kappa_1^*$  given by equations (2)–(5). The distribution of effective moduli is incorporated into the IBEMT via the weights  $c_i$  in equations (29)–(30) of Berryman (1980b).

In our model, we assume that each inclusion is filled with either water or air, and that any water-filled inclusion communicates only with the nearest gas-filled inclusion. Thus, we used equation (6) with  $n = n_g$ , the number density of gas-filled pores, to compute the distances to gas-filled inclusions. [If the water saturation is  $S_w$ , then  $n_g = (1 - S_w)n$ .] The effect of saturation on the distance from a given water-filled inclusion to its nearest gas-filled neighbor is illustrated in Figure 2 by a graph of P(R)



FIG. 1. Graphs of the real (solid) and imaginary (dashed) parts of the normalized effective bulk modulus  $\kappa_1^*/\kappa_1$  versus  $\omega/\omega_0$  as given by the pore-to-pore relaxation model [equation (3)], for hydraulic communication with an inclusion containing a very compressible fluid ( $\kappa_2/\kappa_1 = 0.1$ ).

for various values of  $n_g$  corresponding to different levels of saturation. This figure illustrates the expected behavior: that R, and hence L, becomes larger as water saturation is increased. It also illustrates that L becomes more broadly distributed with increasing saturation. This suggests that accounting for the random distribution of L becomes more important at higher levels of water saturation.

### Modeling results and discussion

Using the model described above, we compute effective elastic moduli and wave velocities over a range of frequencies and levels of saturation for a "model sandstone." Our model sandstone is composed of water- and air-filled spherical inclusions in a solid quartz matrix. Data describing the physical properties of the constituent materials are given in Table 1; other parameters used in the model are given in Table 2. The elastic Pwave velocity and quality factor ( $v_p$  and  $Q_p^{-1}$ , respectively) for the model sandstone were computed using [Berryman, 1980b, equation (48)],

Table 1.	Physical properties of the constituents of a model				
sandstone.					

	Solid Grains (Quartz) <sup>1</sup>	Water <sup>2</sup>	Gas <sup>2</sup>
Bulk modulus, $\kappa$ [Pa] Shear modulus, $\mu$ [Pa] density, $\rho$ [kg/m <sup>3</sup> ]	$\begin{array}{c} 37 \times 10^9 \\ 44 \times 10^9 \\ 2.65 \times 10^3 \end{array}$	$2.4 \times 10^9$ 0 $1.0 \times 10^3$	$\begin{array}{c} 0.02 \times 10^9 \\ 0 \\ 100 \end{array}$

<sup>1</sup>From Mavko et al. (1998, p. 307).

 $^{2}$ From Dutta and Odé (1979).

 
 Table 2. Parameters used to quantify the pore space and hydraulic properties of a model sandstone.

Parameter	Numerical Value
Porosity, $\phi$	0.25
Inclusion radius, a	$100 \ \mu m$
Inclusion volume, $V = \frac{4}{2}\pi a^3$	$0.0042 \text{ mm}^3$
Inclusion number density, $n = \phi/V$	$36 \text{ mm}^{-3}$
Water viscosity, $\eta$	$10^{-3}$ Pa $\cdot$ s
Duct radius, r	$2.0 \mu \mathrm{m}$



FIG. 2. Probability distribution [from equation (6)] of the distance, R, from the center of a given water-filled inclusion to the center of its nearest gas-filled neighbor, for various levels of water saturation,  $S_w$ .

$$\frac{1}{v_p} \left( 1 - \frac{i}{2} Q_p^{-1} \right) = \left( \frac{\rho}{\kappa_{\text{eff}} + \frac{4}{3} \mu_{\text{eff}}} \right)^{1/2}, \quad (7)$$

where  $\kappa_{\text{eff}}$  and  $\mu_{\text{eff}}$  are the computed (complex-valued) bulk and shear moduli of the composite, and  $\rho$  is the average density of the sample.

The resulting graphs of  $v_p$  versus  $S_w$ , at various frequencies are presented in Figure 3. The Gassmann curve was computed by first using the conventional inclusion-based model to compute the dry-frame bulk modulus  $\kappa_d$  and then applying Gassmann's equation,

$$\kappa_{\rm eff} = \kappa_d + \frac{\alpha^2}{\frac{\phi}{\kappa_f} + \frac{\alpha - \phi}{\kappa_s}} \tag{8}$$

to compute the effective bulk modulus  $\kappa_{eff}$  of the fluid-saturated sample. Here,  $\kappa_s$  is the bulk modulus of the solid mineral material (e.g., quartz), and  $\alpha \equiv 1 - \kappa_d / \kappa_s$  is typically termed the "poroelastic parameter." Because the pressure is equilibrated between the fluids, the bulk modulus  $\kappa_f$  of the effective fluid phase is given by the Reuss average,

$$\frac{1}{\kappa_f} = \frac{S_w}{\kappa_w} + \frac{1 - S_w}{\kappa_g},\tag{9}$$

where  $\kappa_w$  and  $\kappa_g$  are the bulk moduli of the liquid and gas phases, respectively, and  $S_w$  is the liquid saturation. The conventional assumption of the Gassmann approach (that the shear modulus of the fluid-saturated composite is equal to dryframe modulus) was used.

The high-frequency limit curve plotted in Figure 3 coincides with the result that would have been obtained if the IBEMT calculation had been performed with no fluid pressure communication between inclusions. As the frequency is decreased, allowing pore-to-pore relaxation of fluid pressure to occur, the composite becomes more compliant and the P-wave velocity



FIG. 3. Computed P-wave velocity,  $v_p$ , versus water saturation,  $S_w$ , for a model sandstone, as given by the pore-to-pore relaxation model. The solid curves correspond to each of the wave frequencies  $10^{2.5}$ ,  $10^3$ ,  $10^{3.5}$ ,  $10^4$ ,  $10^{4.5}$ , and  $10^5$  Hz. For comparison, the velocities predicted by Gassmann's equation are plotted as the dashed curve.

correspondingly decreases. Note that in the low-frequency limit, where fluid pressures are equilibrated, the computed  $v_p$ versus  $S_w$  curve agrees closely with the low-frequency curve computed using Gassmann's equation. The cause of the discrepancy is that in the Gassmann formulation the fluid pressure is equilibrated throughout the pore space, whereas in the present model it is equilibrated only between pairs of inclusions (so that fluid pressures can be more relaxed in the lowfrequency limit than would otherwise be possible). The velocity is frequency independent at 0 and 100% saturation because the pore fluid is homogeneous and therefore no pressure gradients arise that would cause flow. We present in Figure 4 the same data plotted in Figure 3, but as P-wave velocity vs. frequency.

The graph of  $Q_p^{-1}$  versus  $S_w$  resulting from the same simulation is presented in Figure 5; curves are plotted for three different frequencies between the high- and low-frequency limits. The qualitative features of each curve are similar, with a loss peak that occurs at a higher saturation as the wave frequency



FIG. 4. Computed P-wave velocity,  $v_p$ , versus wave frequency, f, as given by the pore-to-pore relaxation model for a model sandstone, at various levels of water saturation,  $S_w$ . These are the same data as plotted in Figure 3, but emphasize the velocity dispersion introduced by allowing for fluid pressure communication in the IBEMT.



FIG. 5. Computed P-wave quality factor,  $Q_p^{-1}$ , versus water saturation,  $S_w$ , for a model sandstone, as given by the pore-to-pore relaxation model.

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is decreased. This peak occurs at the saturation at which the distance over which a given water-filled inclusion must relax is such as to maximize the viscous loss over a wave cycle. As demonstrated in Figure 1, this occurs when the time scale of pressure relaxation is of the same order as the time scale of elastic deformation. The  $Q_p^{-1}$  versus  $S_w$  curves shown in Figure 5 are identical in form to the laboratory measurements shown in Figure 6 of Murphy et al. (1986), and are of the same order of magnitude.

Figure 6 shows  $Q_p^{-1}$  versus frequency at various levels of saturation. The behavior exhibited in this figure is typical of viscous relaxation phenomena. There is a broad attenuation peak centered about the relaxation frequency of the pore-to-pore relaxation mechanism, with attenuation tailing off to zero in the low- and high-frequency limits. Because the time scale of pressure relaxation increases with saturation (due to the fact that the pressures in individual water-filled inclusions must relax over greater distances to their nearest gas-filled inclusion), the attenuation peak shifts significantly toward lower frequencies with increasing saturation.

#### AN EXPLICIT PORE NETWORK MODEL

The principal failing of the pore-to-pore relaxation model developed in the previous section is the assumption that each inclusion is hydraulically connected to only one other inclusion, whereas real porous medium inclusions are multiply connected. Consequently, the model underestimates the permeability of the medium and the degree of pore-pressure relaxation that occurs at a given frequency. This limitation accounts for the discrepancy between the computed and low-frequency Gassmann predictions. An additional factor that cannot be accounted for is the role of pore-scale or sample-scale fluid distribution. Knight and Nolen-Hoeksema (1990) and Cadoret et al. (1995) provide experimental evidence for the stiffening effect of heterogeneous fluid distribution on elastic moduli. The simple pore-to-pore relaxation model does not provide a means of incorporating such effects into the relaxation dynamics.



FIG. 6. Computed P-wave quality factor,  $Q_p^{-1}$ , versus wave frequency, f, as given by the pore-to-pore relaxation model for a model sandstone at various levels of water saturation,  $S_w$ . These are the same data as plotted in Figure 5, but emphasize the dispersion introduced by allowing for fluid pressure communication.

In order to model more accurately the frequency and saturation dependence of elastic wave velocities, we develop a relaxation model for a network of inclusions. In this system, each inclusion is hydraulically connected to any number of its neighboring inclusions, thus forming a hydraulically connected network. As in the previous model, we assume Poiseuille flow through the ducts in response to pressure gradients, such that the volumetric flow rate  $q_{ij}$  from inclusion *i* to inclusion *j* is given by

$$q_{ij} = \gamma_{ij}(p_i - p_j). \tag{10}$$

The fluid conductivity  $\gamma_{ij}$  (i, j = 1, ..., n) is a function of the geometry of the duct, and for the case of a cylindrical duct is given by equation (2), under assumptions discussed in the previous section. In Appendix D, we derive the expression for the vector  $\kappa^*$  of effective moduli of every inclusion in the medium, under the simplifying assumption that all inclusions have the same shape. We obtain

$$\boldsymbol{\kappa}^* = \left( \mathbf{I} + \frac{1}{i\omega} \begin{bmatrix} \kappa_1/V_1 & 0 & \dots \\ 0 & \kappa_2/V_2 & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} \mathbf{G} \right)^{-1} \boldsymbol{\kappa}, \quad (11)$$

where **I** is the identity matrix, the matrix **G** contains information about the hydraulic connections between pairs of inclusions,  $\kappa$  is the vector  $(\kappa_1, \ldots, \kappa_n)$  of elastic moduli of fluids contained in the inclusions, and  $V_i$  is the volume of the *i*th inclusion.

Equation (11) merely generalizes equation (3) to the case of a multiply-connected pore space. In the high-frequency limit, it reduces to  $\kappa^* = \kappa$ , indicating as expected that communication between inclusions no longer plays a role at sufficiently high frequencies, and we recover the usual assumption of hydraulically isolated inclusions. As in the previous section, we use the expression  $\mu^* = i\omega\eta$  for the effective shear modulus of fluid-filled inclusions to account for dissipation due to shear deformation of the fluid.

#### Modeling results and discussion

We compute effective bulk moduli and shear moduli for inclusions, as described above, and use Berryman's IBEMT to compute elastic wave velocities for the composite. We present in Figure 7 graphs of P-wave velocity,  $v_p$ , versus water saturation,  $S_w$ , at various frequencies for a model sandstone. The pore network was constructed by placing n = 250 spherical inclusions randomly within a solid sphere with the appropriate volume to generate a sample with the desired porosity, and placing a cylindrical duct between each inclusion and its three nearest neighboring inclusions (we assume that the ducts themselves contribute negligible porosity). The parameters describing the relevant dimensions and physical properties of the model sandstone are again as given in Tables 1 and 2. Saturation was varied by starting with all inclusions gas filled and randomly filling individual inclusions with water. Because the number of inclusions, n, in the conceptual sample is finite, the effects of increasing in saturation are discrete; hence the curves plotted in Figure 7 are not smooth. Increasing n smoothes the curves without significant quantitative change and at great computational cost [because of the matrix inversion in equation (11)].

We found n = 250 to be a satisfactory compromise between smoothness, accuracy, and computation time.

As expected, the high-frequency  $v_p$  versus  $S_w$  curve shown in Figure 7 reproduces the curve that would have been computed if an IBEMT without pore-pressure communication had been used. We also note that we obtain very close agreement with the Gassmann prediction in the low-frequency limit. However, the main improvement in this model over the simple pore-topore relaxation model is that the interconnectedness of the pore space is treated explicitly. Therefore, we expect that the details of the frequency dependence of  $v_p$  will be more realistic than that predicted with the previous model. Furthermore, this model is also suitable for investigating the effects of macroscopic fluid distribution, as explicit control is available over which inclusions are filled with which fluids.

In Figure 8 can be seen the details of the frequencydependence of P-wave velocity for this model sandstone. This



FIG. 7. Computed P-wave velocity,  $v_p$ , versus water saturation,  $S_w$ , for a model sandstone, as given by the pore-network relaxation model. The solid curves correspond to each of the wave frequencies  $10^2$ ,  $10^3$ ,  $10^4$ ,  $10^5$ , and  $10^6$  Hz. For comparison, the velocities predicted by Gassmann's equation are plotted as the dashed curve.



FIG. 8. Computed P-wave velocity,  $v_p$ , versus wave frequency, f, as given by the pore-network relaxation model for a model sandstone at 80% water saturation. The corresponding curve from Figure 4 is plotted as the dashed curve for comparison.

figure shows a graph of  $v_p$  versus frequency for the saturation level  $S_w = 0.8$ . For comparison, this figure also shows the corresponding curve from Figure 4. Figure 8 exhibits qualitative similarities with Figure 4, with the expected asymptotic limits for both high and low frequencies, and with a transition from low to high frequency occurring about a characteristic relaxation frequency,  $\omega_0$ . [We see from equation (11) that a precise definition of  $\omega_0$  would be a complicated expression involving the matrix norm of **G**, but is on the order of the typical term  $\gamma_{ij}\kappa_i/V_i$ .] However, this figure differs substantially from Figure 4 in that the transition occurs over a much wider range of frequencies (over three decades of frequency rather than two) and is less symmetric about the central relaxation frequency.

### CONCLUSION

Our approach incorporates fluid relaxation into the constitutive elastic relations for individual inclusions. The response of a fluid-filled inclusion to sinusoidal deformation, including the effects of hydraulic connectivity of the pore space, is thereby represented entirely in the effective elastic moduli. To this end, we have developed explicit illustrative models of the relaxation process and used these models to derive frequency-dependent expressions for the effective moduli. The strength of this approach is that it lends itself to the development of more accurate models through the use of more sophisticated models of local flow (such was not our purpose here), without altering the proposed modeling framework.

For the models that we have considered, we have illustrated that our approach reproduces the previous inclusion-based velocity estimates in the high-frequency (unrelaxed) limit. More importantly, we have shown that in the low-frequency limit our results are consistent with (though not identical to) the Gassmann theory, where complete fluid pressure equilibration is assumed. In principle, equivalence with the Gassmann theory will be attained with a relaxation model that allows for equilibration of fluid pressures throughout the entire pore space at sufficiently low frequencies.

Of the two relaxation models we present, we consider the pore network model to be the most accurate and versatile. The effects of the random distribution of duct lengths, incorporated in an ad hoc manner into the pore-to-pore model, arise naturally in the construction of the inclusion network and need not be considered explicitly. The effects of long-range pore pressure communication are also accommodated, as illustrated by the correspondence of our velocity estimates with those of the Gassmann theory. Although not addressed in this study, the pore network model could be applied to systems in which the fluids are distributed in clusters or "patches" of inclusions.

While the pore network model is more general, it has the associated disadvantage that many pore-scale parameters must be supplied explicitly in any particular realization of the model. Another disadvantage of the network model is the increased computational cost associated with populating and inverting a large matrix. This is perhaps out of proportion with the degree of generality the model achieves. A related issue is that, owing to the complexity of the analytical results, they are less amenable to qualitative interpretation.

The inclusion-based models developed here retain the difficulty inherent in all effective medium theories: strong nonuniqueness in the prediction and interpretation of velocity data. The number of model parameters (e.g., the geometry of inclusions and the parameters governing local flow) that are unknown makes it possible to reproduce any observed characteristic frequency and limiting velocities. The inverse problem of using our IBEMT approach to infer rock properties from measurements of velocity dispersion is therefore underdetermined. However, rather than a weakness of the effective medium approach, this reflects a fundamental difficulty that is due to the large number of variables on which elastic wave velocities depend. Although our introduction of local flow models into effective medium theory increases the problem of nonuniqueness by introducing extra free parameters, this is an unavoidable consequence of the tradeoff between the precision of the model and its accuracy in accounting for the relevant physics.

Inclusion-based effective medium theory is well-established as an effective means of modeling the physics associated with wave propagation in heterogeneous systems. By defining the elastic response of the inclusions in terms of complex moduli, we have shown that it is possible to incorporate relaxation mechanisms in the inclusion-based approach. With this modification IBEMT can be used to develop an improved understanding of the link between high-frequency and low frequency velocity measurements. This is a critical issue in determining how best to use the information obtained from laboratory studies in the interpretation of field seismic data.

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# APPENDIX A

# EFFECTIVE BULK MODULUS FOR PORE-TO-PORE RELAXATION

We consider two fluid-filled inclusions, with volumes  $V_1$  and  $V_2$ , filled with fluids having bulk moduli  $\kappa_1$  and  $\kappa_2$ , respectively. We take the inclusions to be imbedded in an infinite background medium subjected to an externally applied incremental dilatation  $d\theta^A$  at infinity. The following result derived by Endres and Knight (1997),

$$d\theta_i + \frac{dp_i}{\kappa_b} = \left(d\theta^A + \frac{dp_i}{\kappa_b}\right) P(\kappa_b, \mu_b, \kappa_i, \mu_i, \alpha), \quad (A-1)$$

relates the incremental dilatation  $d\theta^i$  of inclusion *i* to  $d\theta^A$  and the incremental change in fluid pressure  $dp_i$  in the inclusion (i = 1, 2). The terms  $\kappa_b$ ,  $\mu_b$  are the bulk and shear moduli of the background medium, respectively. The quantities  $P(\kappa_b, \mu_b, \mu_b)$  $\kappa_i, \mu_i, \alpha \equiv P_i$  are identical to the  $P^{*i}$  defined in Berryman (1980b), and account for the effects of inclusion geometry via the aspect ratio  $\alpha$ . We take the shear moduli  $\mu_i$  of the fluids to be zero.

If q is the instantaneous volumetric flow rate of fluid from inclusion 1 to inclusion 2, then the pressure increments  $dp_i$  in the fluids are given by the following relations,

$$dp_1 = -\kappa_1 \left( d\theta_1 + \frac{q}{V_1} dt \right)$$
  
$$dp_2 = -\kappa_2 \left( d\theta_2 - \frac{q}{V_2} dt \right), \qquad (A-2)$$

which follow directly from the constitutive elastic relations for the fluids. We assume that q can be written in the form

$$q = \gamma (p_1 - p_2), \tag{A-3}$$

where  $\gamma$  is the fluid conductivity between the inclusions.

Assuming a time dependence of the form  $e^{i\omega t}$  for the quantities  $\theta^A$ ,  $\theta_1$ ,  $\theta_2$ ,  $p_1$ , and  $p_2$ , equations (A-1)–(A-3) can be combined to relate the fluid pressure  $p_1$  in inclusion 1 to its

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dilatation  $\theta_1$ . This yields an expression of the form

$$p_1 = -\kappa^* \theta_1, \tag{A-4}$$

which defines the effective bulk modulus  $\kappa_1^*$  of the inclusion material. We obtain

$$\kappa_1^* = \frac{\tilde{\kappa} + i\frac{\omega}{\omega_0}\kappa_1}{1 + i\frac{\omega}{\omega_0}},\tag{A-5}$$

where  $\tilde{\kappa}$  is given by

$$\tilde{\kappa} = \frac{P_1 V_1 + P_2 V_2}{P_1 \left(\frac{V_1}{\kappa_1} + \frac{V_2}{\kappa_2}\right) + \frac{V_2}{\kappa_b}(P_2 - P_1)},$$
(A-6)

and the characteristic frequency  $\omega_0$  is given by

$$\omega_0 = \frac{\gamma}{V_1 V_2} \left[ \frac{\kappa_1 \kappa_2}{\kappa_b - \kappa_2 + P_2 \kappa_2} \right] \times \left[ \kappa_b \left( \frac{V_1}{\kappa_1} + \frac{V_2}{\kappa_2} \right) + V_2 \left( \frac{P_2}{P_1} - 1 \right) \right], \quad (A-7)$$

# APPENDIX B

### EFFECTIVE BULK MODULI FOR INCLUSIONS WITH IDENTICAL GEOMETRY

If we make the simplifying assumption that  $P_1 = P_2$  (i.e., if both inclusions have the same shape), we can rewrite equations (A-6) and (A-7) in the form

$$\frac{1}{\tilde{\kappa}} = \frac{V_1/(V_1 + V_2)}{\kappa_1} + \frac{V_2/(V_1 + V_2)}{\kappa_2}, \qquad (B-1)$$

$$\omega_0 = \gamma \frac{\frac{\kappa_1}{V_1} + \frac{\kappa_2}{V_2}}{1 + \frac{\kappa_2}{\kappa_1}(P_1 - 1)}.$$
 (B-2)

In this case, we see that the low-frequency limit  $\tilde{\kappa}$  of  $\kappa_1^*$  is just the volumetric Reuss average of the bulk moduli  $\kappa_1$  and

 $\kappa_2$ . This is the expected behavior when the fluid pressures are equal in both inclusions (i.e., complete pressure communication). Since we consider fluid-filled inclusions in a solid matrix, we assume that  $\kappa_2 \ll \kappa_b$ , so that to a good approximation we can write equation (B-2) as

$$\omega_0 \approx \gamma \left(\frac{\kappa_1}{V_1} + \frac{\kappa_2}{V_2}\right).$$
 (B-3)

Note that equations (B-1) and (B-3) are exactly what would have been obtained if we had assumed in Appendix A that  $\theta_1 = \theta_2$  and used only equations (A-2) and (A-3) to derive the expression for  $\kappa_1^*$ . This observation is used as a simplifying assumption in our development of the pore network model.

# APPENDIX C

# PROBABILITY DISTRIBUTION OF DISTANCES BETWEEN INCLUSIONS

Let  $\Omega$  denote an arbitrary region of volume *V* in a porous medium. Let *N* points (the centers of inclusions) be chosen randomly with uniform distribution over  $\Omega$ , and define the random variable *r* to be the distance from a given point  $\mathbf{x}_0$  in  $\Omega$  to the nearest of these points. Denote by  $\Omega_0$  the sphere of radius *R* and volume  $V_0(R)$  centered at  $\mathbf{x}_0$ .

Defining the distribution function  $F(R) \equiv \wp(r \le R) =$  "the probability that  $r \le R$ ", we then have

$$F(R) = \wp$$
 (there is at least one point in  $\Omega_0$ )

at some expense in complexity of notation).

$$= 1 - \wp \text{ (all } N \text{ points are outside } \Omega_0\text{)}$$
$$= 1 - \left(\frac{V - V_0(R)}{V}\right)^N. \quad (C-1)$$

Defining  $n \equiv N/V$  (the number density of inclusions), we can write

$$F(R) = 1 - \left(1 - \frac{nV_0(R)}{N}\right)^N$$
. (C-2)

We are interested in the limiting case where the size of  $\Omega$  is much greater than the typical distance *r*, so we let  $N \to \infty$  and  $V \to \infty$  in equation (C-2) with *n* held fixed to yield

$$F(R) = 1 - \exp(-nV_0(R)) = 1 - \exp(\frac{4}{3}\pi nR^3).$$
 (C-3)

Denoting the density of r by P(R), so that  $F(R) = \int_0^R P(s) ds$ , we then arrive at

$$P(R) = F'(R) = 4\pi n R^2 \exp(\frac{4}{3}\pi n R^3)$$
(C-4)

# APPENDIX D EFFECTIVE BULK MODULI FOR PORE THE NETWORK MODEL

Here, we consider effective bulk moduli for a network of N fluid-filled inclusions, allowing each inclusion to have fluid pressure communication with any number of its neighboring inclusions. To simplify our development, we assume that all inclusions have the same shape, so that by our observation in Appendix B we can assume that all inclusions undergo the same volumetric dilatation  $\theta$  (this assumption is easily relaxed, i = 1, 2, ..., N, where i = 1, 2, ..., N are i = 1, 2, ..., N, where i = 1, 2, ..., N are i = 1, 2,

If  $q_{ij}$  is the instantaneous volumetric flow rate from inclusion *i* to inclusion *j*, then the differential equations analogous to equation (A-2) are

$$\frac{dp_i}{dt} = -\kappa_i \left(\frac{d\theta}{dt} + \frac{q_{im} + q_{im} + \dots}{V_i}\right), \qquad (D-1)$$

i = 1, 2, ..., N, where m, n, ... are the indices of the inclusions to which inclusion *i* is hydraulically connected,  $\kappa_i$  is the bulk

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modulus of the fluid in the inclusion, and  $V_i$  is the inclusion's volume. Again we assume that the  $q_{ij}$  can be written in the form

$$q_{ij} = \gamma_{ij}(p_i - p_j), \qquad (D-2)$$

where  $\gamma_{ij}$  is the hydraulic conductivity between inclusion *i* and inclusion *j* (inclusions that are not directly connected have  $\gamma_{ij} = 0$ ; we take  $\gamma_{ii} = 0$  for all *i*). Assuming a time dependence of the form  $e^{i\omega t}$  for the quantities  $p_i, p_j, \ldots$  and  $\theta$ , we can rewrite equation (D-1) as

$$i\omega p_i = -i\omega\kappa_i\theta - \frac{\kappa_i}{V_i}\sum_{j=1}^N \gamma_{ij}(pi - p_j).$$
(D-3)

To simplify our notation, we define quantities  $\gamma_i^*$  by

$$\gamma_i^* = \sum_{j=1}^N \gamma_{ij}, \qquad (D-4)$$

and the matrix G by

$$\mathbf{G} = \begin{bmatrix} \gamma_{1}^{*} & -\gamma_{12} & \cdots & -\gamma_{iN} \\ -\gamma_{21} & \gamma_{2}^{*} & \cdots & -\gamma_{2N} \\ \vdots \\ \gamma_{N1} & -\gamma_{N2} & \cdots & \gamma_{N}^{*} \end{bmatrix}.$$
 (D-5)

(Note that **G** is a symmetric matrix, since  $\gamma_{ij} = \gamma_{ji}$ .) Defining vectors of pore pressures and pore fluid bulk moduli by

$$\mathbf{P} = \begin{bmatrix} p_1 \\ p_2 \\ \vdots \end{bmatrix}, \quad \text{and} \quad \boldsymbol{\kappa} = \begin{bmatrix} \kappa_1 \\ \kappa_2 \\ \vdots \end{bmatrix}, \quad (D-6)$$

we can write equations (D-3) as

$$i\omega \mathbf{p} = -i\omega\kappa\theta - \begin{bmatrix} \kappa_1/V_1 & 0 & \dots \\ 0 & \kappa_2/V_2 & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} \mathbf{G}_{\mathbf{p}}.$$
 (D-7)

We can then rewrite equation (D-7) in the form

$$\mathbf{p} = -\boldsymbol{\kappa}^* \boldsymbol{\theta}, \tag{D-8}$$

which defines the vector of effective bulk moduli  $\kappa^*$  for the inclusion materials in all *N* inclusions. Thus we obtain

$$\boldsymbol{\kappa}^* = \left( \mathbf{I} + \frac{1}{i\omega} \begin{bmatrix} \kappa_1/V_1 & 0 & \dots \\ 0 & \kappa_2/V_2 & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} \mathbf{G} \right)^{-1} \boldsymbol{\kappa}, \quad (D-9)$$

where **I** is the  $N \times N$  identity matrix.